



Uncommon Knowledge 2025

Sunday, May 4

11:30 AM	Golf Tournament – course TBA
12:00 PM	Pickleball – Westin South Coast Plaza
4:00 – 6:00 PM	Solo Advisor Meeting
7:00 PM	NAAIM Welcome Party

Monday, May 5

7:00 – 8:30 AM	NAAIM Board Meeting
7:30 – 8:30 AM	New Member Welcome Orientation & Breakfast
7:30 AM	Registration Sponsor Hall Opens
8:30 AM	Welcome – Barry Arnold, NAAIM President
8:45 – 9:45 AM	Owning Hard Choices: Risk has a real cost again -Tony Nash, Complete Intelligence
9:45 – 11:00 AM	Successful Succession Planning - Mark Delfino, Vice Chair and Steve Taddie, Director, Focus Partners Wealth
11:00 – 11:30 AM	Refreshment Break – Sponsor Hall
11:30 – 12:30 PM	50 Ideas in 50 Minutes: Your Marketing Wins & Lessons – Join an interactive open mic session where attendees share real-world marketing successes and missteps—fast-paced, insightful, and full of inspiration.
12:30 – 1:45 PM	Lunch and Sponsor Introductions
1:45 – 3:00 PM	How to Avoid Being Sued, Publicly Shamed, and Losing Clients: Cybersecurity for Investment Advisors - John Siggard, Superior Technical Solutions
3:00 - 3:45 PM	Gold Sponsor Breakouts A. Trendrating B. Rex Shares
3:45 – 4:15 PM	Refreshment Break
4:15 – 5:15 PM	NAAIM Exchange LIVE! Experience our monthly get togethers live. Bring a chart or favorite indicator to share in this session - Moderator: Barry Arnold
5:15 – 5:45 PM	Break before evening event
5:45– 9:00 PM	Platinum Sponsor Hosted Event – Meet in the Hotel Lobby. City Cruises – Newport Beach. Dinner excursion on The Wildgoose (previous owner - John Wayne).

Tuesday, May 6

7:30 – 8:30 AM	Continental Breakfast – Sponsor Hall
8:30 - 9:30 AM	AI Revolution in Active Management: From Theory to Practice – Tal Schwartz, Ph.D., Founder & CEO, AI Funds, Inc.
9:30 – 10:45 AM	0 to \$100M in <2.5 years: Using planning, technology, and process to attract and retain clients – Mark Cecchini, Director, Wealth Solutions, Quadrant Capital

10:45 – 11:15 AM	Refreshment Break – Sponsor Hall
11:15– 12:15 PM	Portfolio Management Using Relative Strength - Zoe Bollinger, CFP, Bollinger Capital Management
12:15– 1:45 PM	Lunch / Annual membership meeting
1:45 – 3:00 PM	What the Heck is going on with the SEC? Navigating Regulatory Shifts in a Changing Landscape - Leila Shaver, Founder, The RIA Lawyer
3:00 – 3:45 PM	Gold Sponsor Breakouts A. Cyber Resilience for RIAs: Protecting Clients, Assets, and Your Business - Raghu Valipireddy, Chief Information Security Office, AXOS Advisor Services B. Kingsview Investment Management
3:45: 4:15 PM	Refreshment Break – Sponsor Hall
4:15 – 5:45 PM	NAAIM Round Tables (Two 40-minute segments). Topics: 1. a. Quantitative & Technical Trading – Moderator: Zoe Bollinger b. Custodians – Moderator: Josh Kneller c. Financial Planning – Moderator: Laura Redfern 2. a. Marketing b. AI In Your Practice – Moderator: Tal Schwartz c. Technology – Moderator: Jacob Dechenes
6:30 – 9:00 PM	NAAIM All Conference Event – Dinner and Cornhole Tournament

Wednesday, May 7

7:30 – 8:30 AM	Continental Breakfast – Sponsor Hall –
8:30 - 9:30 AM	Trend-Emotion-Timing - Oliver Reiss, Ph.D, Managing Director, Tremti GmbH and 2025 1 st Place Founders Award Winner.
9:30 – 10:30 AM	Investing in a World of a Few Good (Or Not So Good) Men - Peter Atwater, President, Financial Insyghts
10:30 – 11:00 AM	Refreshment Break – Sponsor Hall
11:00 – 12:00 PM	How Individual Stock Selection Generates Alpha Over Passive Index Benchmarks - NAAIM Member Panel: Asher Rogovy, Magnifina; Jim Lee, StratFI; Jim Bernstein, QEX Asset Management. Moderator: Jacob Deschenes, Era Capital Management
12:00 – 1:00 PM	Closing Lunch
1:00 PM	Conference Ends

We are excited to announce that NAAIM has been approved to be an NASAA IRA CE credit provider. We will also be offering CFP Board CE credit at Uncommon Knowledge 2025.